



Attorney Docket No. A-10041

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application for:

First Inventor: Ivo V. IVANOV

**Group Art Unit: 3628**

Appln. No.: 10/777,093

**Examiner:**

For: DYNAMIC REBALANCING OF  
ASSETS IN AN INVESTMENT  
PORTFOLIO

**Confirmation No.: 4549**

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**PRELIMINARY AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Prior to examination, please amend the above-identified patent application as indicated below.

**Amendments to the Drawings** begin on page 2 of this paper and include both an attached replacement sheet and an annotated sheet showing changes.

**Remarks** begin on page 3 of this paper.

An **Appendix** including amended drawing figures is attached following page 3 of this paper.